In-Person Governance Body Meeting Slides January 21-22, 2020

digital bridge

Data Exchange with EHR: Assessing Public Health Projects' Potential for Digital Bridge Partnership

January 21, 2020

AGENDA

Review of Assessment Framework

Overview of Projects

Illustrative Examples

- National Program of Cancer Registries (NPCR)
- Minimal Common Oncology Data Elements (mCODE)
- National Healthcare Safety Network (NHSN)
- Social Determinants of Health, concept framing

Discussion

Assessment Framework Objectives

Goal: To assess existing and potential initiatives that exchange data between EHR and public health

- Describe capabilities for bi-directional data and information exchange
- Describe areas of provider and public health burden
- Detail the benefits to population and public health
- Identify ways that Digital Bridge could contribute
- Organize a facilitated conversation among leaders of the initiatives and Digital Bridge governance to explore partnerships

VALUE STATEMENTS



Promote standards-based approach



Benefits both healthcare and public health



Potential to engage with Digital Bridge

Methods

- 1. Mind Map
- 2. Questions
- 3. Assessment Categories
 - e.g. purpose of system, type of system, owner, scalability, system architectures
- 4. Criteria



Assessment Framework

1. Brief project description

Purpose, stakeholders, end users, adoption, maturity, sites, funding

2. Promote standards-based approach

Standards, bidirectional data exchange, scalability, portability, generalizability

3. Value to healthcare and public health

Decrease burden, improve timeliness, improve data capture, improve completeness, impact health care decision making, impact public health action

4. Potential to engage with Digital Bridge

PROGRAMS AND ACTIVITIES

Adapting Clinical Guidelines to Digital Age

Electronic Case Reporting (eCR)

Electronic Laboratory Reporting (ELR)

Electronic Test Ordering and Results (ETOR)

FDA Sentinel Initiative

Immunization Information System (IIS)

Making EHR Data More Available for Research and Public Health (MEDMorph)

Minimal Common Oncology Data Elements (mCODE)

Multi-state EHR-based Network for Disease Surveillance (MENDS)

National Healthcare Safety Network (NHSN)

National Program of Cancer Registries (NPCR)

National Syndromic Surveillance Program (NSSP)

North Carolina Care 360

Systemic Harmonization and Interoperability Enhancement for Laboratory Data (SHIELD)

Assessment Framework

9

Review handout





Brief project description



Plans and challenges including use of standards



Value to healthcare and public health



How the project can benefit from Digital Bridge



Potential to engage Digital Bridge



Cloud-based Computing Platform: from EHR to Cancer Data Reporting

Joseph D. Rogers, MS

Wendy Blumenthal, MPH

David Jones, PhD

Informatics, Data Science, and Applications Team (IDSAT) Cancer Surveillance Branch Division of Cancer Prevention and Control National Center for Chronic Disease Prevention and Health Promotion

Digital Bridge, Governance Body Meeting

January 21-22, 2020



Ushering a New Era in Public Health Data



As public health leaders, we must be prepared to handle the challenges of today and, at the same time, to make real the potential of the new innovation of tomorrow.

> Robert R. Redfield, MD Director, CDC, and Administrator, ATSDR

https://www.cdc.gov/surveillance/moving-ahead/the-future.html

"Data is moving slower than the disease..."

"The nation's public health data systems are antiquated and in dire need of security upgrades paper records, phone calls, spreadsheets and faxes requiring manual data entry are still are in widespread use and have significant consequences including delayed detection and response, lost time, missed opportunities and lost lives."

Testimony of Janet Hamilton, Director of Science and Policy at CSTE, speaks at Public Witness Day, April 9, 2019 Labor, Health and Human Services, Education, and Related Agencies (116th Congress)

https://appropriations.house.gov/events/hearings/public-witness-day-1



The New World of Public Health Data

- Timely
- Accurate
- Accessible



National Program of Cancer Registries (NPCR)

- Coordinates collection, verification and reporting of important information on all reportable cancer cases.
- Helps identify better ways to prevent, treat and control cancer.









- Data Visualization Tool
- State Cancer Plans
- Public Use Dataset
- Reports & Research

Over 1.7 million new cases & nearly 600,000 deaths annually.

Measuring Progress. Targeting Action.



U.S. Department of Health and Human Services Centers for Disease Control and Prevention

The Challenges

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Current Reporting Structure



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Data Volume, Complexity, and Veracity



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Accelerating Progress in Cancer Control



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Future Reporting Structure

- Access by key stakeholders to the latest data
- Rapid processing
- Timely, accurate, complete data reporting



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Benefits of a Cloud-Based Computing Platform



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11

burden and improves public health linkages

and standardization

better intervention and resource allocation

Current EHR Data Exchange and Use

Milestones in Physician/Clinic Interoperability

- Physician reporting to central cancer registries from EHRs
 - Currently implemented in at least 36 central cancer registries
 - Current standard: *HL7 CDA® Release 2*



- Promoting Interoperability (aka Meaningful Use): Cancer Reporting for Eligible Professionals
 - Stage 2 menu item (2014 implementation)
 - Stage 3 optional item (2018 implementation)
 - IGs published in ONC 2014 and 2015 Certification Rules



- eMaRC Plus Physician Reporting Module
 - CDC NPCR-developed free software tool
 - Processes both HL7 CDA IG reports
 - **35+** states using the module

Software & Tools: www.cdc.gov/cancer/npcr/tools/

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Emerging Standards in EHR Data Exchange and Use

Making EHR Data More Available for Research and Public Health (MedMorph) [In Progress]

- Funded through Patient Centered Outcomes Research Trust Fund (PCORTF)
- Create method for obtaining EHR data for multiple public health domains and varied use cases
- Will produce FHIR IG and reference architecture for cancer case reporting

Common Oncology Data Elements eXtensions (CodeX) HL7 FHIR Accelerator [Planning]

 Create FHIR IGs and other informative documents for implementers in the field of oncology



Reliable, Trusted, Scientific,

Emerging Standards in EHR Data Exchange and Use

2018 FHIR Connectathon

- Developed preliminary draft FHIR profile
- Created extensions for cancer-specific Value Sets
- Published profiles to FHIR servers
- Performed validations on the cancer reporting profile on Forge
- Performed simulated experiments with standards for triggers and artifact distribution

CommonWell Health Alliance

- Create an interoperable method to get EHR data to augment cancer cases identified in pathology laboratory reports
- Submit pilot use case to focus on leveraging FHIR to query provider members of the CommonWell Health Alliance for patient data to augment a cancer abstract after initial case identification in a clinical pathology report

Successes and Process Improvement



- EHR vendors have made significant changes based on NPCR and registry feedback
 - Programming
 - Workflow
 - Picklists
 - Triggers
 - Defaults
- eMaRC enhancements
 - Derive key cancer elements and set defaults when missing
 - Bugs identified through testing with real data

- Stage 3 implementation guides
 - Key elements required and cannot be null
 - Better guidance for unknown/missing key elements
 - Better reportability trigger guidance
- Improved Stage 3 Certification Tool
 - CDC emphasized the critical need for content validation
 - CDC provided significant input during development
 - Expect better content and fewer issues/errors

Challenges with EHR Data Exchange

• Limited uptake by EHRs

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- 40 EHR vendors certified for 2014 Edition
- 35 EHR vendors certified for 2015 Edition
- Working with individual EHR vendors
 - Labor intensive, time consuming
 - Requires individualized approach with each vendor
 - Hard to identify appropriate contact person(s)
 - Lack of response
- Cancer registries collect longitudinal data

- Limited implementation by providers
- Dissemination of knowledge artifacts (e.g., reportability trigger codes and cancer-specific value sets) to all implementers
 - Includes need to disseminate periodic updates
- Workflow and implementation issues
 - Key cancer data items missing
 - Defaults for missing data set by vendor inappropriately
 - Triggers implemented partially and inconsistently

Potential Digital Bridge Benefits

- Help address challenges discussed in previous slides, especially:
 - Working with vendors
 - Increase uptake by vendors
- Can Digital Bridge help with the other challenges? Especially:
 - Increase uptake by providers
 - Workflow and implementation issues

- Pooled resources to engage vendors/providers for reportable disease surveillance
- Less confusing for vendors when a single organization is coordinating the messaging



Readiness to Engage with Digital Bridge

- First HL7 CDA IG for cancer developed ~9 years ago
 - Several two formally published updates
 - Lessons learned were incorporated into revised versions
- We have been working central cancer registries and EHR vendors with production implementations for ~6 years
 - Provide significant technical assistance to central cancer registries
 - Work with multiple EHR vendors to provide technical assistance and help identify and resolve bugs and enhancements

- Successful testing of cancer CDA standard at ~ 10 IHE Connectathons
- Successful demonstrations of demonstrated EHR-cancer data exchange at ~10 HIIMSS Interoperability Showcases
- Several efforts in progress to develop a FHIR solution for physician reporting to central cancer registries

Thank you!

Go to the official federal source of cancer prevention information: www.cdc.gov/cancer





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The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

Oncology Standard Health Record

Every patient's journey improves all future care





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45% increase in cancer drugs in development over the past ten years with
87% as targeted therapies

Only 3% of adult cancer patients participate in clinical trials that gather highquality data

Most of the nearly 15 million individuals living with cancer in the U.S. have Electronic Health Records (EHRs)



EHR data challenges:

- Significant variation
- Unstructured data
- High Burden





mCODE[™], or Minimal Common Oncology Data Elements, is a data standard that can be widely adopted. It holds promise to greatly increase **high-quality data** for all cancer types.

A standard health record for oncology

Minimal set of critical data elements Standardized for collection and sharing Recommended by top oncologists Supports multiple cancer use cases Improves cancer care and research





mCODE[™] Initial Collaborators

ASCO[®] AMERICAN SOCIETY OF CLINICAL ONCOLOGY







DANA-FARBER

BRIGHAM AND WOMEN'S HOSPITAL Intermountain Healthcare







MITRE CancerLinQ[•] ASTRO



mCODE[™] Building a Trusted Network of Health Systems



$\textbf{mCODE}^{{}^{\scriptscriptstyle \mathsf{M}}} \textbf{ Thought Leaders}$



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$\textbf{mCODE}^{\tiny \textsf{m}} \textbf{ Logical Model}$



Open standard of minimal structured cancer data

FHIR Implementation Guide through HL7

EHR workflows for collection

Service based interfaces for EHR data extraction

Use case focus with targeted implementations



$mCODE^{M}$ Implementation Projects

Clinical Research

ICAREdata[™]

EHR-based clinical trials endpoints collection:

Develop and validate data elements that define clinical utility (treatment response, toxicity, change in treatment, deviation from clinical pathway).

Clinical Care



Demonstrate the use of mCODE elements to allow providers and patients to make informed, shared, data-driven decisions and provide data back to generate new knowledge.

Clinical Care



Oncology Clinical Pathways are evidence-based treatment protocols for delivering cancer care. This initiative uses mCODE elements in producing computable pathways, which provide key decision support in the selection of treatment options.

8

A New HL7 FHIR Accelerator

Building a community and platform to accelerate interoperable data modeling and implementation around mCODE, leading to <u>step-change</u> improvements in cancer care and research











Gather stakeholders **collaborate** to:

- Prioritize use cases around interest and impact
- Create new data models and FHIR IGs, extending around the mCODE core
- Build Reference Implementations
- Execute pilots in the field to demonstrate feasibility and value

Open standards and open source*

* Models, IGs, APIs and other artifacts developed collaboratively by members working within CodeX projects will be available royalty-free. Systems developed outside of CodeX are welcomed to leverage all CodeX products for free and may be used as part of connectathons and pilots under whatever licensing terms the owners choose.



CODE and mCODE Common Oncology Data Elements eXtensions



Registry Reporting (In Development)

Enable more automated reporting to cancer registries using mCODE APIs





Improve timeliness and accuracy of data while reducing reporting costs

${\sf mCODE}^{{\scriptscriptstyle {\sf M}}}$ Opportunities for Public Health

mCODE supports the increasing need to extract information from the EHR to:
 Enhance public health surveillance

- Design, monitor, and evaluate the impact of public health interventions
- Inform public health policy and guidelines
- Streamline data exchange between EHR and public health systems
- Identify ways to link EHR data to other non-traditional data sources for advanced surveillance (e.g. Family Wellness)

mCODE development approach can be applied to disciplines beyond oncology

13

The Path to Meaningful Interoperability

FHIR establishes the high-level syntax and interfaces for exchange

Argonaut and USCDI standardize foundational patient data

Da Vinci and Carin formalize targeted exchange frameworks

Discipline focused modeling provide the detail needed for semantic interoperability







"Every patient's journey can improve all future care"









Using eCR and the CDC's National Healthcare Safety Network for Surveillance and Quality Measurement of Healthcare-Associated Infections Among Skilled Nursing Facility Residents

Daniel Pollock Surveillance Branch Chief Division of Healthcare Quality Promotion Centers for Disease Control and Prevention

Digital Bridge Annual Governance Meeting Task Force for Global Health – Decatur, GA January 21, 2020

Presentation Objectives

Project Synopsis: Proposed collaboration calls for Digital Bridge and the CDC's National Healthcare Safety Network (NHSN) to join forces in an innovative effort to advance skilled nursing facility (SNF) surveillance and quality measurement

Partnership with Digital Bridge: Critical importance of convening key stakeholders and leveraging eCR platform, services, and experience

Value Proposition: Multiple benefits for public health and patient care

Plans and Challenges: Strategy envisioned and speed bumps anticipated in use of electronic health record system (EHRs) data and interoperability standards

Readiness for Collaboration: NHSN's resources and deployable assets

Background Information: NHSN and SNFs

NHSN

- Design: Web-based system developed and maintained by CDC for surveillance of healthcare-associated infections (HAIs), antimicrobial use and resistance, and other healthcare outcomes and processes
- Current participation: Over 25,000
 healthcare facilities nationwide
- Data entry: Manual via web interface or electronic file submission via Health Level Seven Clinical Document Architecture
- Main data users: Healthcare facilities; CDC and state and local health departments; Centers for Medicare and Medicaid Services (CMS) public reporting and payment programs

Skilled Nursing Facilities

- Approximately 16,000 skilled nursing facilities (SNFs) in the U.S.; over 3,800 participate in NHSN
- Among all SNFs, HAIs are the top cause of hospital admissions
- SNFs have limited capacity for traditional HAI surveillance
- CMS seeks to add SNF HAI quality measures to its post-acute care public reporting and payment programs

Background Information: NHSN's Use of an Electronic Data Supply Chain to Deliver Hospital HAI Quality Measure Data to CMS



Hospital Data Sources: Laboratory Information Systems, Electronic Health Record Systems, **Operating Room Information Systems**, and Admission, Discharge, Transfer Systems

Extraction and processing of HAI data by means of vendor or homegrown IT solutions



Numerators: Single HAI events **Denominators: Patient-level** data for surgical site infections; unit level data for other HAIs

Data files submitted from hospitals to NHSN via manual upload or Direct



CMS uses NHSN's HAI quality measure data for public reporting and payment





NHSN's data analysts produce hospital-specific HAI quality measure files for CMS



Synopsis of Proposed Project

Goal: Enable use of hospital EHRs to detect and report HAIs among SNF residents who are transferred to hospitals for evaluation and management

Source Data: Structured data entered into EHRs in the emergency department, observation unit, and early in the course of inpatient care – including diagnoses, antimicrobial orders, antimicrobial administrations, laboratory test orders, laboratory test results – are source data for detecting and reporting HAIs

HAI Detection and Reporting: Source data run against a logic-based decision support service, located on an intermediary services platform, indicates if HAI case criteria are met; an electronic message confirming reportability prompts completion of a full case report, including patient identifiers, which is assembled by extracting and loading EHRs data into a numerator data file for submission to NHSN

Denominator Data Submissions: SNFs submit monthly counts of resident days to NHSN using current process

Data Analysis: SNF HAI data used to develop, test, and propose a quality measure

Partnership with Digital Bridge

Electronic SNF HAI reporting by hospitals calls for new uses of already built components



Value Proposition for Public Health and Healthcare

Leverages existing eCR and NHSN platforms: Capitalizes on availability of technical infrastructure and surveillance processes already in use; takes advantage of expertise and experience accrued from use of eCR and NHSN platforms

Extends HAI surveillance coverage: Increases scope of SNF HAI surveillance coverage without imposing substantial new reporting burdens on SNFs

Provides new data for HAI prevention: SNFs, public health agencies at all jurisdictional levels, and other HAI prevention stakeholders gain an additional data resource

Enables electronic HAI quality measurement: Facilitates development, testing, and deployment of a new SNF quality measure (or measures) and an electronic supply chain of quality measure data that follows the hospital-to-NHSN-to-CMS reporting pathway

Plans

- 1. Specify structured data capture, case criteria, and reporting requirements
- 2. Convert decisions about structured data, case criteria, and reporting requirements to standards-based, data exchange specifications and implementation guidance
- 3. Adapt or build a logic-based decision support service for case determinations
- 4. Work with implementers to operationalize and refine specifications and processes
- 5. Develop NHSN's backend for receiving, storing, and processing SNF HAI case report data and NHSN's user interface for SNFs to access and use the data
- 6. Validate case report data, including process steps used to produce and submit data
- 7. Use SNF HAI data to develop, test, and propose an electronic HAI quality measure

Challenges

- 1. Conceptual SNF HAI case finding using hospital EHRs data and eCR
- 2. Operational New use case for Digital Bridge and NHSN; new SNF quality metric
- 3. Organizational EHRs vendor, hospital, and SNF participation in proof of concept
- 4. Financial Research and development resources; maintenance support

NHSN's Readiness for Collaboration

Multidisciplinary Team: Physicians, nurses, epidemiologists, statisticians, information technologists, developers, informaticians, and health educators

Multiple Working Relationships: Clinical communities of practice, healthcare facilities throughout the U.S., state and local health departments, infection preventionists, healthcare information technology companies, numerous professional organizations, CMS and other federal agencies, and data standards development organizations

Interoperability Experience: Many years of collaboration with Health Level Seven (HL7), extensive development and use of HL7 standards, ongoing technical support for EHRs and other vendors in use of the HL7 Clinical Document Architecture (CDA) standard for HAI reporting to NHSN

An Extensible Surveillance Platform: Widely used web-based system with strong commitments to using electronic healthcare data for surveillance purposes and to maintaining an up-to-date technical infrastructure

Thank You!

Contact Information: Daniel Pollock - dap1@cdc.gov

For more information about NHSN: http://www.cdc.gov/nhsn/





Social Determinants of Health

Discussion

- 1. What value could Digital Bridge contribute to existing efforts?
 - Interoperability
 - Alignment
 - Scale
 - Common needs
- 2. How does this assessment inform the decision on a "second use case"?
 - Validates Digital Bridge criteria
 - What does Digital Bridge tackle next?
- 3. Now decide, what is the next use case?

Discussion

- 1. What value could Digital Bridge contribute to existing efforts?
 - Interoperability
 - Alignment
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- 2. How does this assessment inform the decision on a "second use case"?
 - Validates Digital Bridge criteria
 - What does Digital Bridge tackle next?
- 3. Now decide, what is the second use case?

- Champion eCR scale up
- Contribute to existing activities
- Take on a use case that leverages eCR infrastructure
- Take on a use case that has a different architecture
- Take over the world!



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Placeholder Slide* eCR Transition and Scale Up Update & eCR Legal Arrangements CDC, APHL, CSTE

*Slides awaiting approval. We apologize for the inconvenience. Thank you.